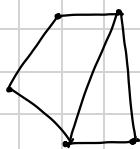


# Graph Theory

# 1.1 Graphs

A graph is a pair  $G = (V, E)$  where  $V$  is a set called vertex set and  $E$  is a set of unordered pairs in  $V$ .  $E$  is called the edge set.

e.g.



$V(G)$  = vertex set of  $G$

$E(G)$  = edge set of  $G$

We will write  $(u, v)$  for the edge  $\{u, v\}$   
" "  
 $(v, u)$

$$|G| = |V(G)|$$

$$e(G) = |E(G)|$$

Remark: sometimes we will have multiple edges between  $u$  and  $v$

In that case,  $G$  is a multigraph

We will sometimes have loops which are edge  $(v, v)$

A simple graph is one without loops and multiple edges.

Def. -  $u, v \in V(G)$  are called adjacent if  $(u, v) \in E(G)$

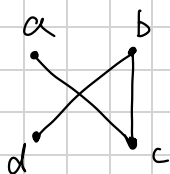
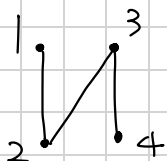
- An edge  $e \in E(G)$  is incident to  $v \in V(G)$  if  $v \in e$

- Edges  $e, e' \in E(G)$  are incident if  $e \cap e' \neq \emptyset$

- If  $(u, v) \in E(G)$ , then  $v$  is a neighbour of  $u$

## Examples

- $V$  = set of people in room  
 $E$  = pairs of people who met the first time today
- $V$  = set of cities in a country  
 $E$  = form connection
- $V$  = users on Facebook  
 $E$  = friends



## 1.2 Graph isomorphism 图同构

$$V(G_1) \rightarrow V(G_2)$$

$\phi: G_1 \rightarrow G_2$  is a graph isomorphism

if it is a bijection from  $V(G_1)$  to  $V(G_2)$

and  $(u,v) \in E(G_1)$  iff  $(\phi(u), \phi(v)) \in E(G_2)$

$$\begin{aligned}\phi(1) &= a & \phi(2) &= c \\ \phi(3) &= b & \phi(4) &= d\end{aligned}$$

Isomorphism is an equivalence relation.

Unlabelled graph = isomorphism class

(equivalence of the isomorphism relation)

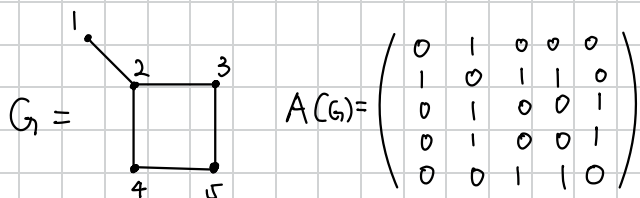
## 1.3 Adjacency and incidence matrix

Let  $G$  be a graph with vertex set  $[n] = \{1, 2, 3, \dots, n\}$

The adjacency matrix  $A(G)$  is an  $n \times n$  matrix such that  $A_{ij} = \begin{cases} 1, & \text{if } (i, j) \in E(G) \\ 0, & \text{otherwise} \end{cases}$

Note that  $A_{ij} = A_{ji}$  so  $A$  is symmetric, and real

so  $A$  has real eigenvalues 特征值



Let  $V(G) = \{v_1, v_2, \dots, v_n\}$  and  $E(G) = \{e_1, e_2, \dots, e_m\}$

Then the incidence matrix  $B(G)$  is  $n \times m$  matrix such that

$$B_{ij} = \begin{cases} 1, & \text{if } v_i \in e_j \\ 0, & \text{otherwise} \end{cases}$$

Observation: every column of  $B$  has two entries that are equal to 1

## 1.4 Degree

Given a vertex  $v \in V(G)$ , we write  $N(v)$  for the set of neighbours of  $v$ .

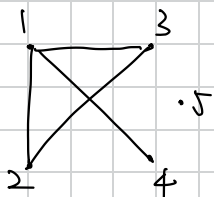
$N(v)$  is called the neighbourhood of  $v$ .

The degree  $d(v) = |N(v)|$

A vertex is isolated if  $d(v) = 0$

$d(v)$  is the number of 1 entries in the row corresponding to  $v$  in  $A(G)$   
 $B(G)$

Example



$$d(1) = 3$$

$$d(2) = 2$$

$$d(3) = 2$$

$$d(4) = 1$$

$$d(5) = 0$$

Fact  $BB^T = D + A$  where  $D = \begin{pmatrix} d(1) & \dots & 0 \\ \vdots & d(2) & \vdots \\ 0 & \dots & d(n) \end{pmatrix}$

$$(BB^T)_{ij} = \sum_{k=1}^m B_{ik} B_{kj}^T$$

$$= \sum_{k=1}^m B_{ik} B_{jk} = \sum_{k=1}^m \mathbb{1}_{\{v_i \in e_k, v_j \in e_k\}}$$

$$= \begin{cases} \mathbb{1}_{(i,j) \in E(G)}, & \text{if } i \neq j \\ d(i), & \text{if } i = j \end{cases}$$

The minimum degree of a graph  $G$  is the smallest  $d(v)$  over all  $v \in G$   
maximum largest

$\delta(G) = \text{minimum degree}$

$\Delta(G) = \text{maximum degree}$

The average degree of  $G$  is  $\bar{d}(G) = \frac{\sum_{v \in V(G)} d(v)}{|V(G)|}$

A graph is  $d$ -regular if  $d(v) = d \quad \forall v \in V(G)$

Q: Is there a 3-regular graph on 9 vertices?

Lemma:  $\sum_{v \in V(G)} d(v) = 2e(G)$

Proof: Each edge  $(u,v) \in E(G)$  contributes 2 to the sum  $\sum_w d(w)$ : once in  $d(u)$  and once in  $d(v)$ .

## 1.5 Subgraphs

A graph  $H=(V,F)$  is a subgraph of  $G=(V,E)$

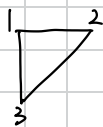
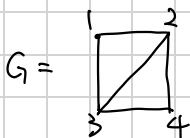
if  $U \subseteq V$  and  $F \subseteq E$

由于 graph 的定义.  
F 中仅含 U 中的点组成的 edge

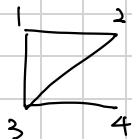
Induced subgraph: For each  $U \subseteq V(G)$  the induced subgraph  $G[U]$  is the graph

with vertex set  $U$  and edges set  $\{e \in E(G) : e \subseteq U\}$

Spanning subgraph: If  $H=(U,F)$  is a subgraph of  $G=(V,E)$  and  $U=V$  then  $H$  is the spanning subgraph in  $G$



induced  
but not spanning



spanning  
not induced

## 1.6 Complete graph

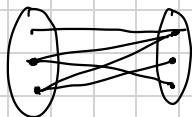
- $K_n$  complete graph on  $n$  vertices

We take all edges between  $n$  vertices

$$e(K_n) = \frac{n(n-1)}{2}$$

- $E_n$  empty graph on vertices no edges.

- Biparte graph has two disjoint set of vertices  $U$  and  $V$  such that  $\forall v \in U \cup V$  and every edge contains one vertex from  $U$  and one vertex from  $V$



- Complete bipartite graph

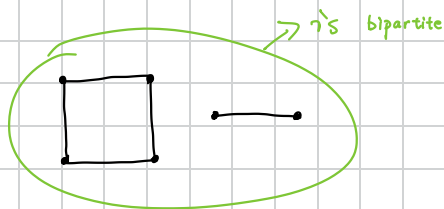
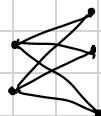
$K_{n,m}$  has all possible edges between a set of size  $n$  and a set size of  $m$

Examples

$K_4$



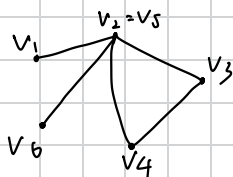
$K_{2,3}$



## 1.7 Walks, paths, cycles

Def. A sequence vertices  $(v_1, v_2, \dots, v_t)$  is a walk

if  $(v_i, v_{i+1}) \in E(G) \quad \forall i \leq t-1$



A walk  $(v_1, v_2, \dots, v_t)$  is a path if  $v_1, \dots, v_t$  are distinct

A cycle is a walk  $(v_1, \dots, v_t)$  such that  $v_1 = v_t$  and  $v_1, \dots, v_{t-1}$  are distinct

The length of a walk is the number of edges (counted multiple times for edges used in multiple times) in the walk.

Proposition. Every walk from  $u$  to  $v$  contains a path from  $u$  to  $v$

— Proof: By induction. on the length of the walk

If length = 1, it's correct

Take a walk  $(v_1, v_2, \dots, v_t)$  from  $u$  to  $v$

Either this is a path or  $\exists i < j$ , such that  $v_i = v_j$

By removing the vertices  $v_{i+1}, v_{i+2}, \dots, v_{j-1}$

and merge  $v_i$  and  $v_j$

you got a shorter walk from  $u$  to  $v$



## Proposition 1.23

Every graph  $G$  with minimum degree  $\delta \geq 2$  contains a path of length  $\delta$  and a cycle of length at least  $\delta + 1$

Proof.

Let  $v_1, \dots, v_k$  be a longest path in  $G$ . Then

all the neighbours of  $v_k$  must belong to  $v_1, \dots, v_{k-1}$

so we have  $\underbrace{k-1}_{\substack{\downarrow \\ \text{有 } k-1 \text{ 个可能邻节点} \\ \text{度数不超过这个}}} \geq \delta \Rightarrow \underbrace{k}_{\substack{\downarrow \\ \text{长为 } \delta}} \geq \delta + 1 \rightarrow \substack{\delta+1 \text{ 个点} \\ \text{的 path}}$

$\downarrow$   
否认: 这条 path 可以更短

由于  $\delta(v_k) \geq 2$

Remark

We have also proved that a graph with minimum degree  $\delta \geq 2$  contains cycles of at least  $\delta + 1$  different lengths. This fact, and the statement of Prop 1.23, are both tight; to see this, consider the complete graph  $G = K_{\delta+1}$

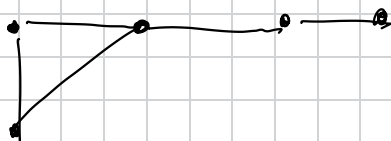
所以  $v_k$  的邻节点  $\begin{cases} v_{k-1} \\ v_1, \dots, v_{k-2} \end{cases}$   
至少在  $v_1, \dots, v_{k-2}$  中选一个  
那么长度最小的环一定是从  $v_k$  开始往前找  $\delta(v_k)$  个连续的点, 构成一个长度为  $\delta + 1$  的环

## 1.8 Connectivity 连通性

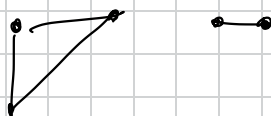
Definition:

A graph  $G$  is connected if for all pairs  $u, v \in G$ , there is a path in  $G$  from  $u$  to  $v$ .

Note that it suffices for there to be a walk from  $u$  to  $v$



connected



not connected

Def.

A (connected) component of  $G$  is a connected subgraph which is maximal with respect to inclusion.

We say that  $G$  is connected iff it has exactly one component.

Proposition 1.39.

A graph with  $n$  vertices and  $m$  edges has at least  $n-m$  connected components.

## 1-9 Graph operations and parameters

Def. Given  $G = (V, E)$ , the complement  $\bar{G}$  of  $G$  is the graph on the same vertex set  $V$  and  $(u, v) \in E(\bar{G})$  iff  $(u, v) \notin E(G)$

补图  
定义

Def A clique in  $G$  is a complete subgraph in  $G$

An independent set is an empty induced subgraph in  $G$

Notation. Let  $\omega(G)$  be the number of vertices in a clique of  $G$  of maximum size.

Let  $\alpha(G)$  be the number of vertices in an independent set of  $G$  of maximum size.

Claim: A vertex subset  $U \subseteq V(G)$  is a clique in  $G$ , iff  $U \subseteq V(\bar{G})$  is an independent set in  $\bar{G}$

Corollary: We have  $\omega(G) = \alpha(\bar{G})$   
and  $\alpha(G) = \omega(\bar{G})$

# 2. Trees

## 2.1. Trees

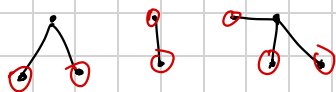
Def: A graph having no cycle is acyclic.

A forest is an acyclic graph.

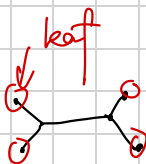
A tree is a connected acyclic graph

A leaf is a vertex of degree 1

Example



Forest



Forest / tree

Lemma 2.3.

Every finite tree with at least 2 vertices has at least two leaves

Deleting a leaf from an  $n$ -vertex tree produces a tree with  $n-1$  vertices.

$u, w \in G'$ , there's a path between  $u$  and  $w$   
if  $v$  is in the path.

$$d(v) \geq 2$$

but  $v$  is a leaf,  $d(v) \neq 1$

so  $v$  can't be in any path, for all  $u, w \in G'$

so  $G'$  is still connected

## 2.2. Equivalent definition of trees

Theorem: For a  $m$ -vertex graph  $G$  ( $m \geq 1$ ), the following are equivalent (and characterize the trees with  $n$  vertices)

- (a)  $G$  is connected and has no cycles
- (b)  $G$  is connected and has  $n-1$  edges
- (c)  $G$  has  $n-1$  edges and no cycles
- (d) For every pair  $u, v \in V(G)$ , there is exactly one  $u, v$ -path in  $G$

Def An edge of a graph is a cut-edge if its deletion disconnects the graph

Lemma An edge contained in a cycle is not a cut-edge

↳ proof: let  $(u, v)$  belong to a cycle

any path from  $x$  to  $y$  in  $G$  which uses edge  $(u, v)$  can be extended to a walk in  $G \setminus (u, v)$ .

In particular, this is a walk in  $G \setminus (u, v)$

对于  $u, v$  若有两条 path  $P, Q$ ,

则  $(x, y) \in P \setminus Q$

即  $P \cup Q \setminus \{x, y\}$  是一条  $x$  到  $y$  的 walk

Def 2.7. Given a connected graph  $G$ , a spanning tree  $T$  is a subgraph of  $G$  which is a tree and contains every vertices of  $G$ .

Corollary 2.8

- (a) every connected graph on  $n$  vertices has at least  $n-1$  edges and contains a spanning tree
- (b) Every edge of a tree is a cut-edge.
- (c) Adding an edge to a tree creates exactly one cycle.

## 2.3. Cayley's formula

Question 2.9

How many spanning trees are there in an  $n$ -vertex labelled complete graph

Example  
 $n=3$ :

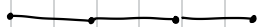


$n=4$  degree 3



$\Rightarrow 4$

or every vertex has degree  $\leq 2$



$\Rightarrow \frac{4!}{2} = 12$

$\Rightarrow 16$  in total

Theorem (Cayley's formula)

There are  $n^{n-2}$  labelled trees on  $n$ -vertices.

## Proof (1)

We will construct a bijection between  $n$ -vertex labelled tree and sequences of length  $n-2$  in which each element is in  $[n]$ .

Numbers of such sequences is  $n^{n-2}$

### Definition (Prüfer code)

Let  $T$  be a tree whose vertex set is some ordered set  $S$  of size  $n$ .

for example,  $[n]$

$f(T)$  is a sequence in  $S^{n-2}$

We delete the leaf whose label is the smallest (among the leaves)

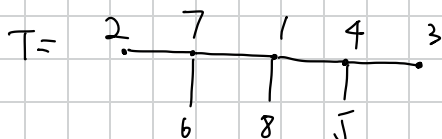
Call this vertex  $v$ .

$v$  has unique neighbour in  $T$ . The first element of  $f(T)$  is defined as the label of this neighbour.

Then iterate this with  $T-v$ .

Eventually, when we have 2 vertices left in the tree, we stop.

This defines a sequence  $f(T)$  in  $S^{n-2}$



7, 4, 4, 1, 7, 1  
(-2) (-3) (-5) (-4) (-6) (-7)

Proposition:

The map  $T \mapsto f(T)$  is a bijection between trees labelled  $S$  and  $S^{n-2}$

Proof:

By induction on  $n$

$n=2$  Trivial  $v$

$n \geq 2$ . How to get a tree from a sequence

$$(a_1, a_2, \dots, a_{n-2}) \in S^{n-2}$$

Claim: If  $S(T) = (a_1, a_2, \dots, a_{n-2})$  then

$\{a_1, \dots, a_{n-2}\}$  is the set of non-leaf vertices in  $T$

Proof of claim: if  $v$  is a leaf, then we cannot remove the unique neighbour of  $v$  before  $v$  (as they would disconnect the graph).

Hence,  $v$  will not appear in the sequence

If  $v$  is not a leaf, then it has at least two neighbours in  $T$ .

Before we remove  $v$ , we must remove at least one



neighbour of  $v$ .

At that point, you add the label of  $v$  to the sequence

The leaf of smallest label is the minimal element in the set  $S \setminus \{a_1, \dots, a_{n-2}\}$ .

by claim, Hence if  $f(T) = (a_1, \dots, a_{n-2})$

Then, the minimal leaf of  $T$  is the minimal element

in  $S \setminus \{a_1, \dots, a_{n-2}\}$ , Call this  $v \in S$

Then, let  $T' = T - v$  Then,  $f(T-v) = (a_2, \dots, a_{n-2})$

There is an unique  $T'$  (by induction) with  $f(T') = (a_2, \dots, a_{n-2})$

$T$  must be formed by attaching the edge  $(v, a_1)$  to  $T'$ .

$$f = (7, 4, 4, 1, 7, 1)$$

$$v=2, \quad \begin{array}{c} 2 \end{array} \rightarrow \begin{array}{c} 7 \end{array}$$

$$f = (4, 4, 1, 7, 1)$$

$$v=3, \quad \begin{array}{c} 2 \end{array} \rightarrow \begin{array}{c} 7 \end{array}$$

$$\begin{array}{c} 3 \quad 4 \\ \hline \end{array}$$

$$f = (4, 1, 7, 1)$$

$$v=5$$

$$f = (1, 7, 1)$$

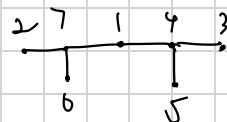
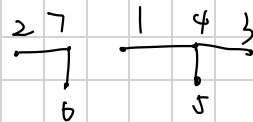
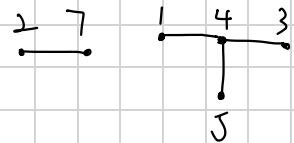
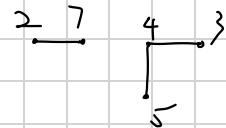
$$v=4$$

$$f = (7, 1)$$

$$v=6$$

$$f = (1)$$

$$v=7$$



Recall

Def: A directed graph (digraph) consists of a vertex set and an edge set of ordered pairs of vertices.

In-degree / Out-degree    入度 / 出度

To each function  $f: [n] \rightarrow [n]$ ,

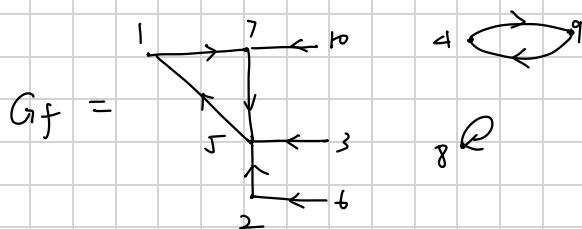
I will assign a tree with two special vertices  $L$  and  $R$

Given  $f: [n] \rightarrow [n]$

I want to define a digraph  $G_f$  on vertex set  $[n]$

by taking a directed edge  $(i, f(i))$  for each  $i \in [n]$

Example:  $f = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ 7 & 5 & 5 & 9 & 1 & 2 & 5 & 8 & 4 & 7 \end{pmatrix}$



Every connected component has as many edges as vertices.  
(each vertex has out-degree 1)

Each component has a unique cycle.

Delete all cycles

We put a path on the vertices of these cycles.

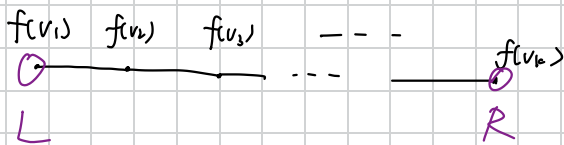
Let  $M$  be the set of vertices in the cycle.

$f$  defines a bijection from  $M$  to itself

$$f|_M = \begin{pmatrix} v_1 & v_2 & \dots & v_k \\ f(v_1) & f(v_2) & \dots & f(v_k) \end{pmatrix} \quad v_1 < v_2 < \dots < v_k$$

$f(v_1), f(v_2), \dots, f(v_k)$  is a permutation of  $v_1, \dots, v_k$ .

Put a path



## Connectivity

Def. In a connected graph  $G$ , a set  $S \subseteq V(G)$  is a vertex cut (or cut) if  $G \setminus S$  is disconnected.

Here  $G \setminus S = G[V(G) \setminus S]$

If  $\{v\}$  is a vertex cut, then we say  $v$  is a cut vertex.

Def. A graph  $G$  is  $k$ -connected if  $|V(G)| > k$  and if  $S$  is a vertex set, then  $|S| \geq k$

(i.e., for every  $X \subseteq V(G)$  of size at most  $k-1$ ,  $G \setminus X$  is connected.)

The continuity of  $G$ , denoted as  $K(G)$  is the largest  $k$  such that  $G$  is  $k$ -connected.

Example:  $k(K_n) = n-1$   $n$ 点完全图是  $n-1$  联通的

$k(K_{n,m}) = \min(n, m)$  完全二分图  $\Rightarrow$  移除数量较小的一侧

1-connected  $\Leftrightarrow$  connected.

only for  $G$  with  $|V(G)| > 1$

Proposition For every graph  $G$ ,  $K(G) \leq \delta(G)$

Proof. We need to prove that either

we can remove at most  $\delta(G)$  vertices to make the graph disconnected

or  $|G| \leq \delta(G) + 1$

Let  $v$  be a vertex of degree  $\delta(G)$

Let  $S = N(v)$  Now  $G \setminus S$  is not connected.

unless there are no vertices in  $G$  outside of  $v \cup N(v)$

In the better case,  $|G| \leq 1 + \delta(G)$

Remark Large minimum degree does not imply large connectivity.

For example. two disjoint copies of  $K_n$

Theorem Every graph of average degree at least  $4k$  has a  $k$ -connected subgraph.  
(Mader 1972)

proof

### 3.2. edge connectivity

Def. A disconnecting set of edges is some  $F \subseteq E(G)$  such that,  $G \setminus F$  is not connected

Given  $S, T \subset V(G)$ , we write  $[S, T]$  for the set of edges with one endpoint in  $S$  and the other in  $T$

An edge cut is a set of edges of the form  $[S, \bar{S}]$  for some non-empty and proper  $S \subset V(G)$

Remark Every edge-cut is disconnecting set.

Not every disconnecting set is an edge cut

But every minimal disconnecting set is an edge cut.

A graph is  $k$ -edge-connected if every disconnecting set has size at least  $k$ .

The edge connectivity of  $G$  denoted  $k'(G)$  is the longest  $k$  such that,  $G$  is  $k$ -edge connected ( $k'$ ), minimum size of a disconnecting set is  $k'(G)$ .

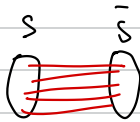
A disconnecting set of size 1 is called a bridge.

Theorem For every graph  $G$ , we have  $k(G) \leq k'(G) \leq \delta(G)$

proof  $k'(G) \leq \delta(G)$

You can remove all the edges incident to  $\delta(G)$  to make the graph disconnected.

$$k(G) \leq k'(G).$$



$\leq t$  edges

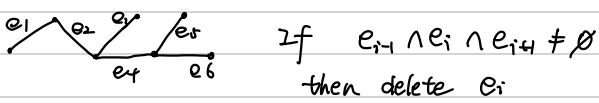
$\chi$



Recall For a graph  $G$ , the line graph  $LG$  has vertex set  $E(G)$   
 with  $e$  and  $f$  adjacent in  $LG$  if they share a vertex in  $V(G)$

What is a path in  $LG$ ?

$e_1, e_2, \dots, e_k \in E(G)$  s.t.  $e_i \cap e_{i+1} \neq \emptyset$



If  $e_{i-1} \cap e_i \cap e_{i+1} \neq \emptyset$   
 then delete  $e_i$   
 You end up with a path from  $e_1$  to  $e_k$    
 ↑ in  $G$

Corollary Let  $u$  and  $v$  be vertices in  $G$

(i) If  $(u,v) \notin E$ , then the min number of vertices distinct from  $u$  and  $v$  separating from  $u$  to  $v$  is equal to the max number of internally vertex-disjoint  $u$ - $v$  paths in  $G$ .

若  $(u,v) \notin E$ ,  $u$ 到 $v$ 的 min 内部顶点割大小 = max  $u$ 到 $v$  顶点不交路径数量.  
 删除这些点,  $u$ 和 $v$ 断开

proof:  $S=N(u)$   $T=N(v)$   
 apply Menger's Theorem.

(ii) The min number of edges separating  $u$  from  $v$  in  $G$  is equal to the max number of edge-disjoint paths between  $u$  and  $v$   
 $u$ 到 $v$ 的 min 边割 = max  $u$ 到 $v$  边不交路径数量.

Theorem Global Menger

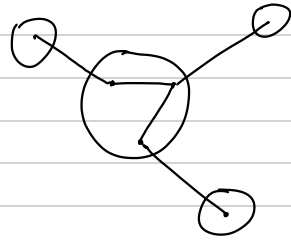
- (a) A graph is  $k$ -connected iff it contains  $k$  internally vertex-disjoint paths between any two vertices (and has at least 2 vertices).
- (b) A graph is  $k$ -edge-connected iff between any distinct  $u,v$  there are  $k$  edge-disjoint paths.
- (c) If there are  $k$  internall

Def A trail is a walk with no repeated edges.

Def An Eulerian trail in a (multi)graph  $G$  is a walk in  $G$  passing through every edge exactly once. If this walk is closed, it is called an Eulerian tour.

Def A connected (multi)graph has an Eulerian tour iff each vertex has even degree

Lemma Every maximal trail in an even multigraph is a closed trail



Proposition If  $G$  is Hamiltonian, then for any non-empty  $S \subset V(G)$

$G \setminus S$  has at most  $|S|$  connected components

Corollary If a (connected) Hamiltonian bipartite graph has bipartition  $A$  and  $B$ , then  $|A| = |B|$

Proof Let  $S = A$ . Then  $G \setminus S$  is independent set (empty graph) of size of  $|B|$

By proposition,  $|B| \leq |S| = |A| \Rightarrow$  By symmetry  $|A| \leq |B| \Rightarrow |A| = |B|$

Remark The condition in the proposition is not sufficient.



Theorem If  $G$  is an  $n$ -vertex graph with  $\delta(G) \geq \frac{n}{2}$  and  $n \geq 3$ , then  $G$  is Hamiltonian.

